IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

VEECH, Richard L.

Atty. Ref.: 604-591

Serial No. Unassigned

Group:

Filed: April 30, 2001

Examiner:

For: THERAPEUTIC COMPOSITIONS

April 30, 2001

Assistant Commissioner for Patents Washington, DC 20231

Sir:

PRELIMINARY AMENDMENT

Please amend the above application as follows:

IN THE CLAIMS

Please substitute the following amended claims for corresponding claims previously presented. A copy of the amended claims showing current revisions is attached.

5. (Amended) A method as claimed in Claim 1 wherein on administration of the compound to an unfasted patient in need of such therapy,

the blood level of ketone bodies, defined as the sum total of D-β-hydroxybutyric acid and acetoacetate, is raised to between 0.3 and 20mM.

- 6. (Amended) A method as claimed in Claim 1 wherein the neurodegenerative disorder is selected from the group consisting of neurodegenerative disorders involving inability to metabolise glucose, memory loss in ageing, neurotoxic peptides or proteins, and genetic abnormality.
- 8. (Amended) A method as claimed in Claim 1 wherein the metabolic precursor is selected from the group consisting of Free Fatty Acids and compounds comprising 1,3-butandiol, acetoacetyl or D-β-hydroxybutyryl moieties.
- 9. (Amended) A method as claimed in Claim 1, wherein the metabolic precursor is a polymer or oligomer of D-β-hydroxybutyrate.
- 14. (Amended) A method as claimed in Claim 1, wherein the level of ketone bodies produced in the blood is in the ratio 1:1 to 20:1 of D- β -hydroxybutyrate to aceto acetate.
- 28. (Amended) Use of D-β-hydroxybutyric acid, acetoacetate, or a metabolic precursor or physiologically acceptable salt of D-β-hydroxybutyric acid or acetoacetate for the manufacture of a medicament for the treatment of a

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disorder by a method as set out in Claim 1 provided that when the use is of a metabolic precursor that is not racemic hydroxybutyryl carnitine.

- 29. (Amended) A foodstuff as claimed in Claim 23 for use in therapy.
- 31. (Amended) A composition comprising a compound selected from those claimed in Claim 15 and poly D-β-hydroxybutyrate together with a physiologically acceptable carrier, in sterile and pyrogen free form.

REMARKS

The above amendments have been made to place the application in a more traditional format. Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached pages are captioned "Version With Markings To Show Changes Made."

Respectfully submitted,

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VERSION WITH MARKINGS TO SHOW CHANGES MADE

IN THE CLAIMS

- 5. (Amended) A method as claimed in [any one of] Claim 1[, Claim 2, Claim 3 and Claim 4] wherein on administration of the compound to an unfasted patient in need of such therapy, the blood level of ketone bodies, defined as the sum total of D-β-hydroxybutyric acid and acetoacetate, is raised to between 0.3 and 20mM.
- 6. (Amended) A method as claimed in Claim 1 [or Claim 2] wherein the neurodegenerative disorder is selected from the group consisting of neurodegenerative disorders involving inability to metabolise glucose, memory loss in ageing, neurotoxic peptides or proteins, and genetic abnormality.
- 8. (Amended) A method as claimed in Claim 1 [or Claim 2] wherein the metabolic precursor is selected from the group consisting of Free Fatty Acids and compounds comprising 1,3-butandiol, acetoacetyl or D-β-hydroxybutyryl moieties.
- 9. (Amended) A method as claimed in Claim 1, [Claim 2, Claim 3 or Claim 4] wherein the metabolic precursor is a polymer or oligomer of D-β-hydroxybutyrate.

- 14. (Amended) A method as claimed in Claim 1, [Claim 2, Claim 3 or Claim 4] wherein the level of ketone bodies produced in the blood is in the ratio 1:1 to 20:1 of D-β-hydroxybutyrate to aceto acetate.
- 28. (Amended) Use of D-β-hydroxybutyric acid, acetoacetate, or a metabolic precursor or physiologically acceptable salt of D-β-hydroxybutyric acid or acetoacetate for the manufacture of a medicament for the treatment of a disorder by a method as set out in [any one of Claims 1 to 14] Claim 1 provided that when the use is of a metabolic precursor that is not racemic hydroxybutyryl carnitine.
- 29. (Amended) A foodstuff as claimed in Claim 23 [or Claim 24] for use in therapy.
- 31. (Amended) A composition comprising a compound selected from those claimed in [any one of Claims 15 to 18] <u>Claim 15</u> and poly D-β-hydroxybutyrate together with a physiologically acceptable carrier, in sterile and pyrogen free form.